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JC986 U.S. PTO

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04-09-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

12056-2

First Inventor

Elliott P. DAWSON

Title

Method of Making High Density Arrays

Express Mail Label No.

EL716478512

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages **27**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total **7**]

5. Oath or Declaration [Total Pages **6**]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Petition to Accept Photographs. Check for \$130.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/145,140**

Prior application information: Examiner **Joseph Ricigliano**Group / Art Unit **1627**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Codeor ☐ Correspondence address below

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Name

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

David A. Farah, M.D.

Registration No. (Attorney/Agent)

38,134

Signature

Date

April 6, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	to be assigned
		Filing Date	April 6, 2001
		First Named Inventor	Elliott P. DAWSON
		Examiner Name	to be assigned
		Group Art Unit	to be assigned
TOTAL AMOUNT OF PAYMENT		\$355.00	
		Attorney Docket No.	12056-2

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 19-2090 Deposit Account Name: Sheldon & Mak <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27		3. ADDITIONAL FEES Large Entity Small Entity Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
FEE CALCULATION			
1. BASIC FILING FEE Large Entity Small Entity Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid			
101 710 201 355 Utility filing fee 355.00		105 130 205 65 Surcharge - late filing fee or oath	
106 320 206 160 Design filing fee		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
107 490 207 245 Plant filing fee		139 130 139 130 Non - English specification	
108 710 208 355 Reissue filing fee		147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination	
114 150 214 75 Provisional filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
SUBTOTAL (1) 355.00		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
2. EXTRA CLAIM FEES		115 110 215 55 Extension for reply within first month	
Total Claims 20 -20** = 0 X 9.00 = 0.00		116 390 216 195 Extension for reply within second month	
Independent Claims 2 -3** = 0 X 40.00 = 0.00		117 890 217 445 Extension for reply within third month	
Multiple Dependent		118 1,390 218 695 Extension for reply within fourth month	
Large Entity Small Entity Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid		128 1,890 228 945 Extension for reply within fifth month	
103 18 203 9 Claims in excess of 20		119 310 219 155 Notice of Appeal	
102 80 202 40 Independent claims in excess of 3		120 310 220 155 Filing a brief in support of an appeal	
104 270 204 135 Multiple dependent claim, if not paid		121 270 221 135 Request for oral hearing	
109 80 209 40 ** Reissue independent claims over original patent		138 1,510 138 1,510 Petition to institute a public use proceeding	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		140 110 240 55 Petition to revive - unavoidable	
SUBTOTAL (2) 0.00		141 1,240 241 620 Petition to revive - unintentional	
**or number previously paid, if greater; For Reissues, see above		142 1,240 242 620 Utility issue fee (or reissue)	
		143 440 243 220 Design issue fee	
		144 600 244 300 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Processing fee under 37 CFR § 1.17(q)	
		126 180 126 180 Submission of Information Disclosure Statement	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify)	
		SUBTOTAL (3)	

SUBMITTED BY		Complete (if applicable)	
Name	David A. Farah, M.D.	Registration No. (Attorney/Agent)	38,134
Signature	<i>David A. Farah</i>	Telephone	626/792-1835
		Date	April 6, 2001

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PATENT

12056-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: to be assigned
)	
Elliott P. Dawson and James R. Hudson, Jr.)	Examining Attorney: to be assigned
)	
Serial No.: to be assigned)	
)	
Filed: April 6, 2001)	
)	
For: Method of Making High Density)	
Arrays)	Pasadena, California

PETITION TO ACCEPT PHOTOGRAPHS
AS PART OF UTILITY PATENT UNDER 37 C.F.R. § 1.84(1)

Box Patent Application
Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

The Applicant hereby submits this written Petition to Accept Photographs in the above-identified patent application in accordance with the requirements of 37 C.F.R. § 1.84(1) and MPEP §608.02. Included with this Petition is one (1) set of mounted photographs for Figures 15 and 16 and a fee of \$130.00 as required and set forth in 37 C.F.R. § 1.17(h). The Applicant believes that photographs are the only practical medium by which to disclose the

"EXPRESS MAIL" mailing label number **EL716478512US**

Date of Deposit **APRIL 6, 2001**

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Elaine Porter
Signature

ELAINE PORTER
Typed or Printed Name of Person Mailing Paper or Fee

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101.01 09

PATENT

12056-2

subject matter depicted in the photographs of Figures 15 and 16 and described in the specification referring to these Figures.

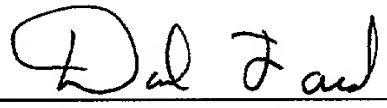
Applicants respectfully request that this Petition be granted for this reason.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 19-2090.

Respectfully submitted,

SHELDON & MAK
a Professional Corporation

Date: April 6, 2001

By 
David A. Farah, M.D.
Reg. No. 38,134

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